

Port Health and Public Protection Key Risks (December 2014)

The table below shows a selection of our key risks which form part of our Departmental Risk Tracker.

Risk No.	Risk	Risk Owner	Existing Controls	Current Risk				Planned Action	Target Risk		
				Likelihood	Impact	Rating	Direction		Likelihood	Impact	Rating
M C P 4	Risk of serious injury to staff and service users due to constrained space for vehicle movement which, in the event of a serious accident/fatality, could affect the operation and sustainability of the service.	Rob Quest HARC	The TOP X risk priority system and a near miss reporting system is in place. Banksman employed at HARC. All accidents fully investigated and any follow up actions implemented.	Possible	Major	A	↔	A review of traffic management is currently being undertaken and will be formally completed by the end of March 2015.	Unlikely	Major	A
M C P 5	Failure by enforcement officers to act in accordance with the current, Member-approved PH&PP Policy Statement on Enforcement, statutory requirements and Government guidance leading to reputational risk and potential financial loss.	Jon Averbs Port Health & Public Protection	Competent enforcement officers; clear policies, procedures and decision making; monitoring of enforcement officers.	Unlikely	Major	A	↔	Regular review of policies and procedures. Routine CPD training of all staff to minimum professional levels.	Rare	Major	G

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				Likelihood	Impact	Rating	Direction		Likelihood	Impact	Rating
M C P 6	Failure to meet Air Quality limit values in the City by the prescribed dates set by the EU which could result in a fine of unknown amount.	Jon Aaverns	The current systems in place allow the City to demonstrate that it is taking sufficient effective action to help the government and the GLA to meet air quality limit values.	Likely	Major	R	↔	The City is working with the GLA and other Local Authorities, organisations and partnerships to address pan-London issues which impact air quality in the City and implementing actions identified within the City of London Air Quality Strategy (recently reviewed and currently out for consultation) including: <ul style="list-style-type: none"> •Encouraging City businesses to reduce emissions via CityAir •Minimising construction and demolition emissions through the City's Code of Practice •Tackling emissions from idling vehicle engines •Recognising and rewarding good practice •Increasing public awareness of air quality •Monitoring the impact of measures to reduce pollution 	Possible	Major	A
M C P 8	Loss of quarantine licensing due to breach of regulations or legislative change. This would result in the closure of the Border Inspection Post facility to imported animals, causing financial loss and negative publicity for the City.	Jon Aaverns HARC	Current procedures reflect regulatory requirements and are actively managed.	Unlikely	Major	A	↔	Undertake annual review of procedures and Defra requirements. Continue consultation with regulatory bodies on new legislation. Ensure that contingency plans cover risks that could affect the quarantine function.	Rare	Major	G

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				Likelihood	Impact	Rating	Direction		Likelihood	Impact	Rating
M C P 9	Outbreak of Legionnaires disease (Legionella sp.) in the City associated with a cooling tower situated within the City of London, the statutory monitoring of which is the responsibility of the City.	Jon Avern's Public Protection	The team has considerable knowledge and experience in this field and has provided training for many other enforcement officers across the country. Over 100 premises are audited each year with the frequency of each inspection based upon a national risk rating scheme which takes into account how those responsible are managing health & safety risks.	Unlikely	Major	A	↔	Conduct regular inspections (frequency dependent upon risk). Independent audit by Environmental Health Officers looking at all aspects of the water risk management systems in place.	Rare	Major	G
M C P 1 2	Inadequate Financial Management in respect of Agents' "unsecured" debts.	Mike Seton Port Health	Existing controls in relation to agents require modification.	Possible	Major	A	↔	Additional controls will be put in to minimise the risk. For future agents a scheme will be developed linked to a security deposit.	Rare	Minor	G
M C P 1 3	Significant delays to maintenance or repairs of equipment and facilities, causing operational difficulties and risk of reputational damage and financial loss.	Rob Quest HARC	City Surveyor's PFMs have been dealing directly with Mitie to address known problem areas. Local management are regularly in touch with PFMs to press for remedial action.	Possible	Major	A	↔	Senior Managers in both M&CP and CS have agreed on suitable arrangements for future maintenance operations.	Unlikely	Minor	G

Ratings	Risk Status	Control Evaluation
R - Red	High risk, requiring constant monitoring and deployment of robust control measures	Existing controls are not satisfactory
A - Amber	Medium risk, requiring at least quarterly monitoring, further mitigation should be considered	Existing controls require improvement/Mitigating controls identified but not yet implemented fully
G - Green	Low risk, less frequent monitoring, consideration may be given to applying less stringent control measures for efficiency gains	Robust mitigating controls are in place with positive assurance as to their effectiveness